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Facsimile

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United States of America
Tel. +1.650.569.5100
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To: U.S. Patent Office
Attention: Ms. Kathy Nelson

Fax #: 703.305.9822

From: Debbie Mojarro/Intellectual Property Dept.
(Tel. 650.569.5287)

Date: April 2, 2002

Pages including this: 2

Subject: U.S. Application No. 10/015,948
Filed: December 11, 2001
Title: Transgenic Mice Containing Adrenocorticotropin Hormone
Receptor Gene Disruptions



Dear Ms. Nelson:

Per our telephone conference of today, we enclose a copy of the executed Declaration/Power of Attorney for the above-referenced patent application.

Please do not hesitate to call should you require additional information.

Thank you for your assistance.

Sincerely,

Debbie Mojarro
Intellectual Property Department

:dm
Encl.

PLEASE NOTE: THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMISSION MAY CONTAIN CONFIDENTIAL INFORMATION WHICH IS LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, OR THE PERSON RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR USE OF ANY OF THE INFORMATION CONTAINED IN THIS TRANSMISSION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE AND MAIL THE ORIGINAL TRANSMISSION TO US. THANK YOU

03-18-02 TD MP/\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of

Inventors: **Keith D. ALLEN et al.**

Appln. No.: **10/015,948**

Filed: **December 11, 2001**

Title: **Transgenic Mice Containing Adrenocorticotropin
Hormone Receptor Gene Disruptions**

Docket/Order No.

R-605

Deposit Account No.

50-1271

Customer No.

26619

Date:

March 15, 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | |
|--|------------------------------|-----------------|--------------------|
| 7. Basic Filing Fee | \$370. | \$370.00 | 201 |
| 8. Total Claims 40 minus 20 = 20 | x 9. | +180.00 | 203 |
| 9. Independent Claims 21 minus 3 = 18 | x 42. | +756.00 | 202 |
| 10. Multiple Dependent Claims | 140. | +140.00 | 204 |
| 11. Surcharge for filing Declaration/filing fee late | 65. | + 65.00 | 205 |
| 12. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED = | \$1,511.00 | | |
| 13. Original due date: March 29, 2002 | | | |
| 14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed. | \$ 55. / 200. 460. / 720. | .00 | 215/216 217/218 |
| 15. If Assignment is included, add recording fee | \$ 40. | + 40.00 | 581 |
| 16. TOTAL FEE (enclosed): = | \$1,551.00 | | |

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road
Redwood City, CA 94063
Tel: (650) 569-5100
Fax: (650) 569-5280

[Signature] (Reg. No. 47,536)
MARIETTE A. LAPIZ, Reg. No. 44,202

Express Mail Label:

EV 007607634 US

Date of Deposit:

March 15, 2002

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the above date, addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231

[Signature]
Joyce Vogel



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/015,948 | 12/11/2001 | Keith D. Allen | R-605 |

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

REC'D
02/05/02
DUE: 3/29/02
FNL: 8/29/02

CONFIRMATION NO. 2942

FORMALITIES LETTER



OC000000007382942

Date Mailed: 01/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1067.
 - \$171 for 19 total claims over 20.
 - \$756 for 18 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1502.

03/20/2002 SFELEKE1 00000063 10015948

| | |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:202 | 756.00 OP |
| 03 FC:203 | 180.00 OP |
| 04 FC:204 | 140.00 OP |
| 05 FC:205 | 65.00 OP |

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/20/2002 SFELEKE1 00000059 10015948
01 FC:201 370.00 OP
02 FC:202 756.00 OP
03 FC:203 180.00 OP
04 FC:204 140.00 OP
05 FC:205 65.00 OP
Total 1502.00
Void date: 03/20/2002 SFELEKE1 00000059 10015948
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